

Verschueren & C. Dekker, *Nature*, 393, 49 (1998); R. Martel, T. Schmidt, H.R. Shea, T. Hertel, Ph. Avouris, *Appl. Phys. Lett.* 73, 2447 (1998).

Topical Conference on Emerging Opportunities and Issues in Nanotubes and Nanoelectronics

Room 6C - Session NT+NS+EM+MS-ThM

Nanotubes: Nanoelectronics and Field Emission

Moderator: N.J. Halas, Rice University

8:20am **NT+NS+EM+MS-ThM1 Carbon Nanotube Molecular Electronics, C. Dekker**, Delft University of Technology, The Netherlands **INVITED**

I will present various recent results from electron transport measurements and scanning-probe microscopy on individual single-wall carbon nanotubes. Our early electrical transport work showed mesoscopic signatures at cryogenic temperatures. Additionally, a room-temperature transistor based on an individual semiconducting nanotube was established. Recent results in transport studies include first measurements on samples with low-ohmic contacts. The nanotubes are found to sustain very high current densities ($\sim 10^9$ A/cm²). I will show first measurements on kinked nanotubes, which act as an on-tube intramolecular junctions. If time allows I may also present our results on AFM manipulation of nanotubes, and electrical measurements on manipulated nanotubes.

9:00am **NT+NS+EM+MS-ThM3 Electrical Transport in Single-Wall Nanotube Rings: Coherence and Localization, H.R. Shea, R. Martel, Ph. Avouris**, IBM T.J. Watson Research Center

Understanding electrical transport in carbon nanotubes is essential for their possible use in nanoelectronics. Furthermore single-walled carbon nanotubes (SWNTs) provide ideal model systems on which to test theories of transport phenomena in 1D-systems. Linear SWNTs, however, do not have self-folding electron trajectories which can enclose magnetic flux. Thus, the technique of magneto-resistance (MR) cannot be applied directly to obtain information on the mechanism of electrical transport. Recently, we have developed a procedure by which linear SWNTs can be induced to form ring structures. Despite the high flexural rigidity of these materials, coils stabilized only by van der Waals forces can be produced in yields of $\sim 50\%$. These rings provide an ideal geometry for MR measurements. The MR is negative over the range of 0-5 T and from it we are able to determine the coherence length of the electrons in the rings. We found that over the entire range of 3 K - 60 K the SWNT-rings are in a state of weak localization induced by the constructive interference of electron waves propagating in opposite directions around the ring. Electric transport is not ballistic, and the coherence length reaches 520 nm at 3 K. From the temperature dependence of the coherence length we determine that the dominant dephasing mechanism at low T involves electron-electron interactions (Nyquist mechanism). Below ~ 1 K we observe an electronic phase transition to a strongly localized state. This transition is accompanied by the opening of a small energy gap and very strong MR and universal conductance fluctuations. An interesting zero bias anomaly (ZBA) is also observed below ~ 0.7 K. This ZBA is sensitive to magnetic fields and is ascribed to Kondo-type scattering from localized magnetic moments.

9:20am **NT+NS+EM+MS-ThM4 Analysis of Carbon Nanotube Field-Effect-Transistors (FETs), T. Yamada**, NASA Ames Research Center

Recent experiments on carbon nanotube FETs@footnote 1@ are analyzed theoretically. Comparing to the familiar Metal-oxide-semiconductor (MOS) FET characteristics, two qualitatively different behaviors can be pointed out:@footnote 1@ (1) the channel conductance $g_{\text{sub } d@}$ as a function of gate voltage $V_{\text{sub } g@}$ is not linear but somehow saturates, and (2) the drain current $I_{\text{sub } d@}$ does not saturate with the drain voltage $V_{\text{sub } d@}$ but rather monotonically increases. As for $g_{\text{sub } d@}(V_{\text{sub } g@})$, a staircase-like curve is expected with possible rounding. Each time the Fermi energy crosses a degenerate new subband, the nanotube conductance increases by double the quantum conductance, and thus $g_{\text{sub } d@}$ forms steps. When moving up to a next step, one new additional degenerate subband needs to be filled in the inversion layer, where larger $V_{\text{sub } g@}$ has to be applied. This will be a mechanism for the $g_{\text{sub } d@}$ saturation. The absence of $I_{\text{sub } d@}(V_{\text{sub } d@})$ saturation is due to the infrequent inelastic scattering by phonons or other carriers in the channel, regardless of the frequent elastic scattering by defects or impurities determining the small $g_{\text{sub } d@}$ ($1/g_{\text{sub } d@} \sim 2.9$ M@ohm@).@footnote 1@ Carriers are not thermalized in the channel without efficient inelastic scattering, resulting in no channel pinch-off formation and no $I_{\text{sub } d@}$ saturation. These reflect the nanotube electronic properties. We need to take them into account in the future device/circuit design, and develop a scheme best suitable for nanotube FETs. @FootnoteText@ @footnote 1@S.J. Tans, R.M.

9:40am **NT+NS+EM+MS-ThM5 Novel Length Scales in Nanotube Devices, F. Léonard, J. Tersoff**, IBM T.J. Watson Research Center

We calculate the properties of p-n junctions, n-i junctions, and Schottky barriers made on a single-wall carbon nanotube. In contrast to planar bulk junctions, the depletion width for nanotubes varies exponentially with inverse doping. In addition, there is a very long-range (logarithmic) tail in the charge distribution, extending over the entire tube. These effects can render traditional devices unworkable, while opening new possibilities for device design. Our general conclusions should apply to a broad class of nanotube heterojunctions, and to other quasi-one-dimensional "molecular wire" devices.

10:00am **NT+NS+EM+MS-ThM6 Field Emission from Carbon Nanotubes and Its Application to Electron Sources in Display Elements, Y. Saito**, Mie University, Japan; **S. Uemura**, Ise Electronics Corp., Japan **INVITED**

Carbon nanotubes possess the following properties favorable for field emitters: (1) high aspect ratio, (2) small radius of curvature at their tips, (3) high chemical stability and (4) high mechanical strength. Field emission microscopy was carried out for both multiwall nanotubes (MWNTs) and single-wall nanotubes (SWNTs) produced by arc discharge between carbon. Four kinds of nanotubes were investigated; viz., (1) as-grown MWNTs prepared in the helium arc (called "pristine MWNTs"), (2) as-grown MWNTs in hydrogen ("nanografters"), (3) purified MWNTs with open ends ("purified MWNTs" or "open MWNTs"), and (4) purified SWNTs. Field emission patterns as well as current versus voltage characteristics and Fowler-Nordheim plots for respective nanotubes will be discussed. As an application of nanotube field emitters, we manufactured cathode-ray tube (CRT) type lighting-elements and vacuum-fluorescence display (VFD) panels. In both display elements, conventional thermionic cathodes were replaced with MWNT field emitters which were fixed onto a stainless steel cathode by using conductive paste. In CRT-type lighting elements, the nanotube cold cathode was covered with a grid electrode, the gap between the cathode and the grid being in a range from 0.2 to 0.7 mm. Current density on the cathode surface was on the order of 10 - 100 mA/cm² at an average field strength of 1.5 V/ μ m. Luminance of the phosphor was intense enough for practical use; e.g., 6.3x10⁴ cd/m² for green light at an anode current of 0.2 mA and an anode voltage of 10 kV. A direct-current driving test revealed a lifetime over 10,000 hours.

10:40am **NT+NS+EM+MS-ThM8 Emission Properties of Large-area, Fully-sealed Carbon Nanotube Field Emission Display, W.B. Choi, H.Y. Kim, D.S. Chung, J.H. Kang, I.T. Han, J.M. Kim**, Samsung Advanced Institute of Technology, Korea

Fully sealed field emission display (FED) in size of 4.5 inch has been fabricated by using carbon nanotubes. Carbon nanotubes were fabricated by arc discharge technique. Carbon nanotube aligning techniques with the aid of slurry squeezing and electrophoresis were used for making large-area cathode. The Y₂O₃:Eu, ZnS:Cu,Al, and ZnS:Ag,Cl, phosphors are deposited on the anode glass for red, green, and blue colors, respectively. The assembled structure was sealed into an atmosphere of highly purified Ar gas by means of a glass frit. The display plate was evacuated down to the pressure level of 1x10⁻⁷ Torr. Three non-evaporable getters of Ti-Zr-V-Fe were activated during the final heat-exhausting procedure. Finally, the active area of 4.5-inch panel with fully sealed carbon nanotubes was produced. The turn-on field for lighting phosphor was 1.5 V/ μ m. Brightness of over 1000 cd/m² at 4V/ μ m was achieved on the entire area of 4.5-inch panel from the green phosphor-ITO glass. The fluctuation of the current was satisfied for the field emission display. These reliable results enable us to produce carbon nanotube-based large area full-color FEDs in the near future. In this presentation, fabrication techniques and emission properties of large area carbon nanotube FED will be demonstrated.

11:00am **NT+NS+EM+MS-ThM9 The Structure of Nanotubes Observed with Thermal Field Emission, K.A. Dean, B.R. Chalamala**, Motorola Flat Panel Display Division; **O. Groening, O.M. Kuettel**, University of Fribourg, Switzerland

We studied the structure of single-walled nanotubes (SWNTs) using field emission microscopy. The field emission images obtained after thermal cleaning depict the spatially-resolved electronic structure of the individual SWNT caps. Using high temperature field emission, we demonstrate how to distinguish between the patterns of individual SWNTs and those of clusters, how to alter the structure of the nanotube cap, and how to extract

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information about the SWNT structure and chirality from the field emission image. In addition, we demonstrate a technique for measuring the SWNT local density of states through thermal field emission energy distribution measurements. With this technique, we observe that nanotubes have discrete electronic states several eV above the Fermi level, and we suggest that these states are responsible for the large variation in emission current vs. temperature behavior observed among nanotubes.

11:20am **NT+NS+EM+MS-ThM10 Fabrication and Field Emission Properties of Adherent Carbon Nanotube Films**, *C. Bower*, University of North Carolina at Chapel Hill; *W. Zhu, G. Kochanski, S. Jin*, Bell Laboratories, Lucent Technologies; *O. Zhou*, University of North Carolina at Chapel Hill

We report on the fabrication and field emission properties of carbon nanotube films. Films of randomly oriented carbon nanotubes were deposited onto substrates using a variety of techniques. The nanotube films exhibited stable field emission current at low turn-on fields (electric field needed to generate 1 nA of current) and threshold fields (electric field needed to generate 10 mA/cm²). A single-walled carbon nanotube film with approximately 20% surface coverage showed a turn-on field of 1-1.2 V/μm and a threshold field of 1.3-1.7 V/μm. The emission characteristics deviated from typical Fowler-Nordheim behavior at high current densities. The nanotube films were capable of generating large current densities (> 4 A/cm²). The emission properties were found to be stable over several days of emitting at 10 mA/cm². The emission site density of the films was measured to be 10⁴-10⁵ sites/cm² and the emission patterns were studied.

11:40am **NT+NS+EM+MS-ThM11 Characterization of Oriented Carbon Nanotube Cathodes for Field Emission Flat Panel Display and Light Source Applications**, *N.N. Chubun*, SRPC Istok, Friazino, Russia; *A.G. Chakhovskoi, C.E. Hunt*, University of California, Davis; *A.N. Obratsov*, Moscow State University, Russia

Oriented carbon nanotubes were recently reported as a viable material for fabrication of field emission cathodes applicable to flat panel displays and vacuum light sources. Field emission properties of diode and triode structures with oriented nanotube cathodes were studied in DC-mode in ultra-high vacuum chamber and in sealed glass prototype devices. Cathodes of 9x9mm grown using glow-activated direct current discharge CVD method on molybdenum and single crystal silicon substrates were studied at currents up to 2 milliamps using metal or phosphor coated anodes. The nanotubes exhibited various degrees of initial surface orientation depending on parameters of the deposition process. An additional orientation of the nanotubes in electric field during first activation of the cathodes was observed. Monochrome low-voltage FPD phosphors were used for cathodoluminescent brightness/light efficiency characterization and for monitoring of distribution of the field emission sites. Turn-on voltages varied from 1 to 5 V per micron depending on the extraction electrode configuration. Influence of vacuum conditions and initial training on stability and lifetime of the cathodes was studied. I/V characteristics of the nanotube cathodes were directly compared to those of carbon fiber and carbon foam emitters and to diamond-coated field emission arrays showing potentially greater reproducibility and uniformity of field emission of the oriented nanotube cathodes. @FootnoteText@ @footnote 1@ A.N.Obratsov, I.Yu.Pavlovsky, A.P.Volkov, V.L.Kuznetsov, A.L.Chuvilin. MRS 1999 Spring Meeting, San Francisco, CA, April 1999, p.B.4.9/C.2.9.

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