

Plasma Science and Technology

Room: Hall B - Session PS-TuP

Plasma Science and Technology Poster Session

PS-TuP1 Dry Deep Etching of GaN Wide Band-gap Semiconductor. *N. Gosset*, GREMI CNRS/Université d'Orléans, France, *J. Ladroue*, STMicroelectronics Tours SAS, France, *T. Tillocher*, *P. Lefaucheur*, GREMI CNRS/Université d'Orléans, France, *M. Boufnichel*, STMicroelectronics Tours SAS, France, *R. Dussart*, GREMI CNRS/Université d'Orléans, France

Gallium nitride (GaN) is a III-V semiconductor with a large and direct band-gap (3.4 eV). Furthermore, GaN has a high electron mobility and strong chemical bonds. These physical properties make GaN very interesting and open new prospects for microelectronics power devices. Indeed, GaN-based devices, compared to silicon devices, can operate under high temperature, high power and high frequency. For GaN-based power devices, an etched depth as high as 6 to 10 μm is typically required. This is considered as deep etching compared to the etch depth necessary for light emitter devices (a few hundred nanometers). It was shown that wet etching of GaN c-plan (plan where etching is generally needed) is limited due to its chemical inertness. Therefore GaN deep etching is achieved by plasma etching. Chlorine-based chemistries are commonly used because GaCl_3 is the most volatile Ga etching product. We studied GaN etching (7 μm thick epilayer grown on Si) in Cl_2/Ar plasma using two industrial Inductively Coupled Plasma (ICP) reactors (Corial 200IL and Alcatel 601 E) and by Ion Beam Etching (IBE) (Plassy MU450). After etching, three regimes of defects were observed: columns, pits and "White GaN". It was shown that both columns and pits are linked to nanopipes and dislocations created during epitaxial growth of GaN. In addition, oxygen based species, coming from either the SiO_2 coverplate or the alumina/quartz tube, play an important role in the columnar regime. They preferentially oxidize dislocations, leading to the observed columns. "White GaN" is a very high roughness coming from surface over-oxidation. For industrial applications, all these defects and roughness must be limited. Plasma investigations, using Langmuir probe, mass spectrometry and optical emission spectroscopy, revealed that SiCl_4 can scavenge oxygen. This subsequently results in elimination of defects. Consequently, using Si coverplate or injection of SiCl_4 leads to defect free surfaces. An optimized IBE process appears to be also a way to reduce defects. The addition of other gases (like BCl_3 , CHF_3 and SF_6) will be also investigated to evaluate the impact on both GaN etch rate and selectivity in Cl_2/Ar chemistry. XPS and AFM surface analysis will be performed to better understand the formation mechanism of defects. Finally, regardless of defects, etch rate as high as 1 $\mu\text{m}.\text{min}^{-1}$ and a selectivity of 6 can be obtained.

PS-TuP8 Fluorocarbon Films Deposited by c-C₄F₈/N₂/Ar Plasmas: The Effect of N₂-addition on Gas Phase Kinetics and Surface Chemistry. *P.K. Kao*, National Taiwan University, Taiwan, Republic of China, *P.J. Kuo*, *P.W. Chiou*, *C.C. Chou*, Tokyo Electron Taiwan Limited, Taiwan, Republic of China, *C.C. Hsu*, National Taiwan University, Taiwan, Republic of China

Fluorocarbon films deposited using c-C₄F₈/N₂/Ar capacitively coupled plasmas are studied with the goal of understanding the effects of N₂-addition on surface chemistry. The plasma system used is a parallel-plate discharge sustained by a 13.56 MHz power source with the electrode diameter 25.4 cm and a gap distance 6 cm. Quartz crystal microbalance (QCM), Fourier transform infrared spectroscopy (FTIR), x-ray photoelectron spectroscopy (XPS), and contact angle measurement are used to characterize the film properties. QCM measurements show a monotonically increase in the deposition rate with N₂ addition, suggesting that CN_xF_y deposition rate surpassed the rate of forming volatile species. FTIR spectra show an additional adsorption near 1350 cm^{-1} for conditions with N₂ addition. This can be caused by either the incorporation of nitrogen into the polymer chain or by creation of additional disordered sp² carbon in the film. The XPS C_{1s} spectrum of the film shows four major peaks, assigned to CF_x ($x = 1, 2, 3$) and C-CF bonds. With N₂ admixture, CFN bonds appear in the C_{1s} spectrum, while the amount of CF_3 and CF_2 bonds only decrease slightly. In support of the XPS data, the water contact angle on FC films remains 100–110° and does not change noticeably with N₂-addition. At the end of the presentation, the process significance in N₂ addition into c-C₄F₈/Ar/N₂ plasmas will be discussed.

PS-TuP11 Etching Characteristics of AlGaIn and GaN in Inductively Coupled Cl₂ Plasma. *J. Cao*, *Y. Lu*, *R. Kometani*, *J. Park*, *K. Ishikawa*, *K. Takeda*, *H. Kondo*, *M. Sekine*, *M. Hori*, Nagoya University, Japan

Gallium nitride and related alloys have been expanding their applications for next-generation optoelectronics such as HEMTs or white LEDs. Plasma etching processes are necessary especially for ternary compounds such as AlGaIn and InGaIn. To control precisely profiles at nano-scale and to reduce plasma-induced damages, which influencing the device performance,¹⁻³ the mechanism of reaction of ions and radicals in plasma with surfaces should be clarified. In this research, we investigated the etching mechanism of AlGaIn in chlorine plasma with focusing on surface stoichiometric composition.

Samples were Al_{0.32}GaN, Al_{0.47}GaN and GaN epitaxial films grown on Si substrate. Photolithographic patterning of UV photoresist was conducted. After chemical cleaning, an inductive coupled plasma etcher was used; the typical condition is antenna power of 200 W, bias RF power of 0 - 120 W, Cl₂/Ar mixture gas flow rate of 30 sccm at 1 Pa. The samples were checked electrostatically on the stage kept at room temperature. X-ray photoelectron spectroscopy (XPS) was used for stoichiometric composition analysis.

When changing the ratio of Cl₂/(Cl₂+Ar) from 0 to 100%, the etch rate of GaN and AlGaIn was increasing with the Cl₂ ratio. The etch rate in all cases saturated as increased the Cl₂ flow ratio above 40%. Order of the etch rate among the films were Al_{0.47}GaN < Al_{0.32}GaN < GaN, which could be explained by their sputtering threshold energies for Al-N of 11.52 eV and Ga-N of 8.92 eV.⁴ Since the etching products such as AlCl_x have high volatility compared with GaCl₃, a layer consisting of low Al-to-Ga ratio was possibly formed on the AlGaIn surface. We will discuss the effects of plasma parameters on both etching profiles and surface stoichiometry in order to elucidate comprehensively understanding of the etching mechanism.

This work was supported by the Knowledge Cluster Initiative (Second Stage), MEXT, Japan. We would like to thank Taiyo Nippon Sanso Corp. for sample preparations.

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PS-TuP12 Selection of Materials and Surface Finishes for Reduced Particle Formation Upon Ion Beam Bombardment in EUV Mask Blank Production Devices. *A.M. Lietz*, *D. Curreli*, University of Illinois at Urbana Champaign, *A.V. Hayes*, *A. Devashayam*, Veeco, *D.N. Ruzic*, University of Illinois at Urbana Champaign

Extreme UltraViolet Lithography (EUVL) requires reflective mask blanks, manufactured by ion beam sputtering a multilayer stack of thin films, primarily Mo and Si, onto a mask substrate. At least 40 bilayers of Mo and Si are necessary to produce a surface which has sufficient EUV light reflectivity for use in high volume manufacturing exposure tools. When contaminant particles deposit between these layers, the EUV light is absorbed or scatters irregularly, rendering the mask blank unusable. One possible source of such particles is bombardment of shields in the deposition chamber by energetic particles scattered from the ion beam and target and "overspill" of the tails of the ion beam off the edge of the target under oblique target angle of incidence. Shields are used to cover targets that are not in use and prevent deposition or sputtering nearby surfaces and equipment. These shields must be able to accept many successive layers of deposition without flaking and forming particles of deposited material. They must also be able to withstand ion beam overspill bombardment, while forming a minimal amount of particles.

In order to evaluate improved shield materials and surface finishes, shield samples were placed under a broad angle ion beam and particles were collected on a witness plate. Shields of various materials and surface finishes were compared to determine the lowest level of particle formation. The total number of particles on the witness plates was quantified using laser scattering particle detection. Particle sizes and shapes were quantified with high resolution SEM imaging of the witness plate, and their composition was determined using backscattered electron imaging. The shield samples themselves were also analyzed using SEM to check for qualitative features, such as plateau formation, that may indicate the mechanism of particle formation.

PS-TuP13 The Influence of Plasma and Vacuum Ultraviolet Radiation on the Time-Dependent Dielectric Breakdown of Porous Low-k Dielectric Films, D. Pei, M. Nichols, H. Sinha, University of Wisconsin-Madison, S. Banna, Applied Materials Inc., Y. Nishi, Stanford University, J.L. Shohet, University of Wisconsin-Madison

Time-dependent dielectric breakdown (TDDB) is a major concern for low-k dielectrics. The TDDB properties of porous organosilicate glass (OSG) and photopatternable low-k dielectric (PPLK) films after plasma and/or VUV exposure are evaluated using constant voltage time-to-breakdown measurements. To examine the effect of plasma exposure on TDDB degradation, dielectric films were exposed to Ar plasma in an ECR reactor. To separate the effect of vacuum ultraviolet (VUV) radiation on TDDB from charged particle bombardment, synchrotron radiation was used. This also has the advantage of being able to vary the wavelength of the radiation continuously. Dielectric films were exposed under different photon energies with the same fluence. After plasma or VUV exposures constant-voltage time-to-breakdown measurements were made for each sample. Weibull statistics were used. Both the results from PPLK and OSG showed that when the samples were exposed to plasma, significant degradation in breakdown time was observed. The VUV radiation under different photon energies shows less effect on the TDDB of both PPLK and OSG for the same fluence as the plasma. Charge-to-breakdown measurements also show similar results.

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PS-TuP14 Noninvasive, Real-Time Measurements of Plasma Parameters via Optical Emission Spectroscopy, S. Wang, J.B. Boffard, C.C. Lin, A.E. Wendt, University of Wisconsin-Madison, S.B. Radovanov, H.M. Persing, Varian Semiconductor Equipment, Silicon Systems Group, Applied Materials Inc.

Plasma process control applications require acquisition of diagnostic data at a rate faster than the characteristic time scale of perturbations to the plasma. Diagnostics based on optical emission spectroscopy (OES) of intense emission lines permit rapid noninvasive measurements with low-resolution (~1nm), fiber-coupled spectrographs, which are included on many plasma process tools for semiconductor processing. The use of OES is an established practice to determine when a process is completed, i.e., the process "endpoint," by detecting changes in intensity in optical emissions of key gas-phase chemical species, and OES can also be used to detect the presence of impurities and monitor changing reactor wall conditions. More detailed real-time information about the plasma state is increasingly desirable for process monitoring, however, due to progression in the semiconductor industry toward plasma processes with both tighter tolerances and multiple steps, i.e., where operating parameters are varied over the course of the process. In this work,* we examine the utility of plasma optical emissions from argon measured with a low-resolution spectrograph (Verity 1024 SH) as a real-time monitor of plasma parameters during the course of a plasma process, based on a rapid method to monitor and analyze the intensities of a select group of Ar emission lines to dynamically determine the following plasma parameters. Electron temperature and density are relevant parameters for characterization of the dynamic behavior of processing plasmas, because gas phase reactions are driven by collisions involving energetic plasma electrons. Metastable and resonance level concentrations are also relevant as these species play significant roles in plasma processing, through energy released when they de-excite upon reaching substrate surfaces, and through the emission of VUV photons which enhance surface reactions. These parameters are unambiguous indicators of the instantaneous plasma state and as such may play a valuable role as monitors for closed-loop process control. Results will be presented for argon and argon/mixed-gas (Ar/N₂, Ar/O₂, Ar/H₂) inductively coupled plasmas. Accuracy of the results (which are compared to measurements under static conditions made by Langmuir probe and white-light absorption spectroscopy) are typically better than ±15%. The system time resolution is ~0.1 s, which is more than sufficient to capture the transient behavior of many processes, limited only by the time response of the spectrograph used.

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PS-TuP16 80 MHz Capacitively Coupled SiH₄/H₂ Discharge for m-Si Thin Film Deposition - 2 Dimensional Fluid Model Simulation, H.B. Lin, S.E. Lien, C.H. Hsieh, K.C. Leou, National Tsing Hua University, Taiwan, Republic of China, C.C. Hsieh, C.F. Ai, Institute of Nuclear Energy Research, Taiwan, Republic of China

Capacitively coupled SiH₄/H₂ plasmas (CCP) have been widely employed for deposition of Si film for applications such as thin film transistors and

thin film solar cells. For Si thin film solar cells, requirements for yielding higher conversion efficiency at a lower cost calls for a high rate and high uniformity plasma process for deposition of microcrystalline silicon (m-Si) film. A better understanding of the plasma discharge is needed. In this study, a 80 MHz SiH₄/H₂ CCP discharge has been investigated by numerical simulation based on fluid model, with 27 species and 47 reactions. Simulation results show that plasma density, as well as the number densities and fluxes of H, SiH₂, and SiH₃, important reactive species for the growth of m-Si film, as well as the ratio of H/SiH₃ flux, a key indicator for crystal fraction of m-Si film, increase with rf power, as expected. Compared with the case in 27.12 MHz, the results also show that plasma density for the 80 MHz discharge are higher, due to frequency effect in the dominate electron heating mechanism, and, consequently, the densities of the key radical species also drop. More importantly, the ratio of H/SiH₃ flux is also higher for the 80 MHz cases. Further more, the plasma potential, which determines the energy of ions incident on the grounded substrate electrode, decreases as operation frequency decreases. Consequently, a higher crystal fraction can be obtained by increasing the frequency of the rf power of plasma reactors, due to less damage on films causes energy ions bombardment. Simulations have also been carried out for different gap spacing between two electrodes and calculation results show that plasma density increases as the gap spacing decreases, as a result of lower electric field strength.

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PS-TuP17 Numerical Investigation of Electron Heating in a Neutral-Loop Discharge Plasma, S. Kim, University of Wisconsin-Madison, S. Banna, Applied Materials Inc., Y. Nishi, Stanford University, J.L. Shohet, University of Wisconsin-Madison

Neutral Loop Discharge (NLD) plasmas are being investigated as a new plasma source to produce good directionality and high fluxes of ion bombardment at substrate surface¹ for semiconductor device fabrication. In an NLD plasma reactor, a Neutral Loop (NL) can be produced (zero-field path) that is generated by cusp-shaped magnetic field from direct current magnets. It has been shown theoretically that magnetic reconnection occurs in the neutral loop region when radio-frequency fields are applied². During the reconnection process, the DC magnetic field and the r.f. magnetic field cause disconnection and reconnection of the magnetic-field lines over an r.f. period. The reconnection results in the potential to heat electrons stochastically on the NL. Changing the position and the diameter of the NL can modify processing uniformity over a large area and thus improves plasma processing without need to move or rotate the workpiece. However, to confirm this, the details of plasma parameters as a function of position of the NL are produced by numerical simulations of electron motions near the NL in the presence of an r.f. The relationship between the r.f. magnetic field and its corresponding electric field and the average electron energy is also investigated.

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PS-TuP18 Hydrocarbon Conversion by Non-Equilibrium, Atmospheric-Pressure Microplasma, J. Cole, R.M. Sankaran, Case Western Reserve University

Hydrocarbon conversion plays an important role in our energy economy. For example, the conversion of natural resources such as methane into hydrogen, carbon monoxide, ethanol, and other chemical fuels is essential to current and future energy needs. Typically, hydrocarbon conversion (specifically, methane reforming) is carried out by high-temperature (>500 °C), catalytic processes. To lower the temperature requirements and improve the conversion and selectivity, non-equilibrium plasmas have been explored for the conversion of hydrocarbon feedstocks¹. However, the power requirements and stability at high pressures have been obstacles to achieving significant improvement. In this study, we explore the application of a novel class of atmospheric-pressure plasmas, microplasmas², for the conversion of hydrocarbon gases including CH₄ and CO₂. As carbon dioxide emissions increase globally, reactions consuming CO₂ may become a necessity. The reaction of CO₂ with CH₄, known as dry methane reforming, is endothermic and normally requires high temperature and pressure and a catalyst; however, a non-equilibrium microplasma could potentially carry it out at room conditions. Additionally, when coupled with a catalyst, plasmas in general have been shown to have a synergistic effect³ that improves conversion beyond that of just the plasma alone or catalyst alone.

In this study, CO₂ and CH₄ were introduced into a microplasma in varying feed ratios and flow rates. The effluent was characterized by simultaneous gas chromatography and mass spectrometry to identify and quantify

products. Reactive intermediate species were monitored by optical emission spectroscopy and soot formation was detected by aerosol ion mobility measurements. Results for CO₂ and CH₄ conversion as well as selectivity to specific products such as CO, H₂, and higher order hydrocarbons will be presented, as well as the formation of soot.

References

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PS-TuP19 Multi-Peaked and Stepped Electron Velocity Distributions in RF-DC Discharge with Secondary Emission, A. Khrabrov, I.D. Kaganovich, Princeton Plasma Physics Laboratory, P. Ventzek, L. Chen, Tokyo Electron America

Hybrid RF-DC capacitively coupled discharges find important and growing applications in industrial processes. In such discharges, secondary electrons emitted from the electrodes undergo a complicated motion defined by acceleration in, and bouncing between a steady and an oscillating sheath. For the secondary electrons that return to, and impinge upon the RF electrode, the arrival energy is a non-monotonic function of the driving voltage phase at which they were emitted. This basic property leads to a velocity distribution with multiple peaks [1,2]. This effect may explain the multiple peaks in the electron energy distribution function measured in RF-DC system at RF electrode [2,3]. The energy dependence upon the phase of arrival can also be discontinuous (as the number of bounces between the sheaths changes by plus or minus one), which corresponds to a distribution containing steps. Further, the velocity distribution of secondary electrons is sensitive to variations in the bouncing time and may form additional peaks if a small high-frequency ripple is present in the RF sheath voltage [2]. We have found such features in numerical test-particle simulations of the discharge, and analyzed the observed structure of the electron distributions. [1] D. Israel, K.-U. Riemann, and L.D. Tseng, *J. Appl. Phys.* **99**, 093303 (2006). [2] K.E. Orlov and A.S. Smirnov, *Plasma Sources Sci. Technol.* **10**, 541(2001). [3] L. Xu, L. Chen, M. Funk, A. Ranjan, M. Hummel, R. Bravenec, R. Sundararajan, D. J. Economou, and V. M. Donnelly, *Appl. Phys. Lett.* **93**, 261502 (2008).

PS-TuP20 Formation of Multi-Peak Electron Velocity Distribution Function by Two-Stream Instability in a dc Discharge, D. Sydorenko, University of Alberta, Canada, I.D. Kaganovich, A. Khrabrov, Princeton Plasma Physics Laboratory, L. Chen, P. Ventzek, Tokyo Electron America

Interaction of an electron beam with a plasma is of particular importance for hybrid dc-rf coupled plasma sources used in plasma processing. Electron acceleration by high-frequency waves may explain the low-energy peak in the electron energy distribution function measured in plasma processing devices [1]. In the present paper, the collisionless electron heating in a dc plasma source is studied using the particle-in-cell code EDIPIC [2,3]. In simulations, electrons emitted from the cathode surface are accelerated through a dc bias electric field and form an 800 eV electron beam entering the bulk plasma. The beam excites electron plasma waves via the two-stream instability. In the region of strong plasma oscillations bulk electrons can be accelerated to substantial energies. These energetic electrons are correlated with the peaks in the eedf described in [1]. In this presentation we discuss factors impacting peak energy and magnitude such as plasma profile. [1] L. Chen and M. Funk, *Langmuir wave standing wave resonance in DC/RF plasma*, Proceedings of ICRP 2010. [2] D. Sydorenko, A. Smolyakov, I. Kaganovich, and Y. Raitses, *Phys. Plasmas*, **14**, 013508 (2007). [3] D. Sydorenko, I. Kaganovich, Y. Raitses, and A. Smolyakov, *Phys. Rev. Lett.*, **103**, 145004 (2009).

PS-TuP21 Electron Molecule Collisions with Methane, W.J. Brigg, University College London, UK, A.I. Williams, S. Lopez-Lopez, D. Monahan, Quantemol Ltd., UK, J.C. Tennyson, University College London, UK, A. Dzarasova, Quantemol Ltd., UK

Methane is of particular interest due to its use in diamond deposition processes, and presence in fusion and combustion plasmas. Methane is known to be a difficult molecule to simulate, combined with the difficulty of obtaining electronic excitation and dissociation for both theorists and experimentalists alike[1], this presents a relative lack of data for this important molecule.

Quantum-mechanical calculations can be the answer. The calculations presented use the R-Matrix method: where the configuration space is divided into two regions. There is an inner region, where the physics is complicated by exchange and correlation effects, and an outer region, where

greatly simplified equations can be solved. The programs used to carry out these calculations are provided by the UKRmol suite. See Tennyson [2][3] for a detailed review of electron-molecule collisions using the R-matrix method. Quantemol-N provides an expert system for running these codes as well as adding extra functionality tailored to provide data for plasma models.

Using Quantemol-N, several different cross sections and properties were calculated for methane, including electron impact elastic, excitation, rotational excitation, differential, momentum transfer, ionisation, and dissociative attachment cross sections. This data can be covered in the reaction rates and used for modeling of plasma processes.

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PS-TuP22 A Comparative Study of New Algorithm for Fluid Simulation of High Density Plasma Discharges, S.G. Oh, Y.J. Lee, J.H. Jeon, J.H. Seo, H. Choe, Korea Aerospace University, Republic of Korea

The inductively coupled plasma or the capacitively coupled plasma reactors are typical ones in the material processes for the electronic device fabrications such as semiconductor, TFT-LCD, solar cell. Fluid simulation is one of the simulation method in transport modeling of these discharges, because the profiles of plasma quantities such as densities, temperatures, fluxes and potentials are easily obtained. It is known that there is a restriction on the simulation time step, because the shielding time scale of an electric field perturbation is very short. To overcome this limitation, semi-implicit methods are suggested for the solution of Poisson's equations. In this work, from the physical origin of restriction on simulation time step, a new method for larger time step in the steady-state fluid simulation of high density plasma discharge is suggested, and is explained. To study the differences and similarities, a simple comparison of the new method with previously known one is given.

PS-TuP23 Properties of a Magnetic Neutral-Loop Discharge, W. Li, S. Kim, K. Mavrakakis, Z. Ling, H. Zhang, J. Bray, T. Griffin, M. Nichols, University of Wisconsin-Madison, B.-H. Moon, Y.M. Sung, Kyungshung University, Korea, S. Banna, Applied Materials Inc., Y. Nishi, Stanford University, J.L. Shohet, University of Wisconsin-Madison

The magnetic neutral-loop discharge (NLD) was developed in 1994.[1] In this work we designed an NLD reactor using a stainless-steel chamber, instead of the commonly used quartz chambers in previous work because of the need for such a system in microelectronic processing. The vacuum chamber lies in the middle of three sets of magnet coils. With DC currents flowing in the opposite direction in the middle set of coils, a circle on which magnetic field is zero, *i.e.* a neutral loop(NL), can be produced in the middle of the chamber. In order to generate plasma, 13.56 MHz RF is inductively coupled into the chamber with a spiral antenna, through a quartz window located on one end of the chamber. The reactor can be operated in two modes, (1) an NLD mode when there are oppositely directed DC currents in the magnet coils, or (2) an ICP mode when there are either no DC currents or same direction DC currents in the magnet coils. In the NLD mode, the plasma was observed to be brighter near the location of the NL than in the center. This difference was further confirmed with measurement of the optical spectrum using an OceanOptics spectrometer, which shows the relative plasma glow brightness at the NL is as twice high as from the center of the chamber, and about 10% higher than the ICP plasma mode.

By adjusting the ratio of the DC currents running in the magnet coils, the radius of the NL can be changed. Both experiment and simulation show that the glow follows the change of the NL radius, especially at low pressure measured with a monochromator and photomultiplier and compared with that observed from the ICP mode as well as other reactors. Although the location of the argon peaks are the same, the relative heights of the peaks and their widths are strong functions of the operating pressure and r.f. power for both modes.

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PS-TuP24 Size Effect of Hf Liquidous Nano-particles on PEPVD Growth of HfSiON on SiO₂/Si, T. Haga, T. Kitajima, T. Nakano, National Defense Academy of Japan

In the paper, we show the size effect of metal particles on PEPVD of high-k dielectric thin film for MOSFETs.

In the case of a few deposition of Hf, high density hafnium metal nano particles with 2-6 nm diameter are formed on SiO₂/Si surface and subsequent nitrogen plasma exposure (~10 min.) leads to the growth of HfSiON high-k dielectric film with a SiN interface layer. The exposure of atomic nitrogen and ions from the non-equilibrium plasma enables the

introduction of N into the film and increases the interfacial reaction rate of Hf and SiO. Within the first 1 min., the Hf nano particles are oxynitrided with the N atoms from the plasma and the O atoms supplied from the lower interface judging from the XPS analysis. The nitridation rate is quite high and the quantitative measure shows the sticking of the N atom to the Hf nano particles reaches 1.0, while it is an order lower for thicker Hf layers more than 50 nm. A contact AFM survey of the Hf nano particles indicates the nano particles are liquidous due to Melting-point depression [2]. The following plasma exposure (~ 5 min.) enables the diffusion of Si atoms into the high -k film from the underlying SiO layer. Following N₂ ICP exposure continuously increases the N atom fraction in the film which is relatively slow compared to the initial stage. The XPS spectrum shows the sea incorporated is mostly nitrides in the film. The spectrum also indicates the interfacial SiO layer is nitrided and this leads to the minimized EOT of the high-k stack structure.

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PS-TuP26 High Etching Rate of Lithium Niobate Substrate using BCl₃/Ar Mixture Gases by ICP-RIE. C.M. Chang, P.L. Chen, J. Su, M.H. Shiao, C.-N. Hsiao, National Applied Research Laboratories, Taiwan, Republic of China

In this study, Z-cut LiNbO₃ single crystal wafers were etched by the inductively coupled plasma reactive ion etching (ICP-RIE) technique by using the boron trichloride (BCl₃)/ Argon (Ar) mixture gases. Effects of the ICP power and RF power ranged from 100W to 400W of the ICP-RIE system were studied on the etching rate, surface roughness, and corresponding DC bias under two working pressures of 30 mTorr and 50 mTorr, respectively. Besides, photoresist and metallic nickel thin film were used as the etching mask, and the selective ratios of the two etching masks were also compared. From the experimental results, it can be found that the DC bias (-V) decreases with the working pressure, and increases with the ICP power and the RF power. The surface roughness of the etched LiNbO₃ substrate was decreased from 55 nm to 30 nm with increasing working pressure, but it increased when the ICP power and RF power were increased. The etching rate of the LiNbO₃ substrate was increased with increasing the ICP power and RF power under the two working pressure. It is noted that the etching rate was greater than 100 nm/min when the working pressure was controlled at 30 mTorr. The selective ratios of the photoresist and the nickel were calculated to be approximately 0.4 and 8, respectively. Under suitable processing parameters of ICP-RIE, the surface roughness less than 40 nm, structure depth greater than 2 μm, and sidewall angle greater than 70° of the LiNbO₃ substrate can be obtained within 20 min, which etching rate is greater than 80 nm/min.

PS-TuP27 Direct Liquid Injection into Low-Pressure Plasmas. D. Ogawa, Chubu University, Japan, M.J. Goeckner, L.J. Overzet, The University of Texas at Dallas

What if a liquid is injected directly into low-pressure plasmas? The current material processing with low-pressure plasmas (< 100 Pa) requires the gas-phase precursors in many cases. The limitation is sometimes irritating. The technique we have proposed, *the direct liquid injection into low-pressure plasmas*, enables liquids for plasma processing without applying any heat. Also, this technique enables the injection of solids through a liquid. For example, one could control the number of nano-particles in a film that is produced with a plasma to change the mechanical property of the film. Or, one could leave the clusters of solids on a substrate by evaporating a liquid off to print a three-dimensional structure. It is also fine that one uses heat-sensitive materials such as proteins, or even bacteria because the temperature of plasma processing is generally low. This technique definitely expands the possibility of material processing with plasmas.

With our best knowledge, not so many people researched the combination of direct liquid injection and low-pressure plasmas. Coppins suggested these kinds of plasmas to call as *misty plasmas* [i] [#_edn1] because one can consider that the droplets are another material state of particles. In contrast to dusty plasmas, misty plasmas can regulate the increase of particle temperature due to the droplet evaporation. Ward patented the configuration to realize the plasma processing in 2005. [ii] [#_edn2] However, our experiences showed that the configuration should create unstable plasma so that the process should be difficult.

This presentation shows the some investigations of the technique from some theoretical calculations and from the experimental observations. Our calculations shows that the evaporation time of droplets becomes half even at a plasma with 10¹⁰ cm⁻³ and 2 eV due to the contribution of three-body recombination on the droplet surface. On the other hand, our experimental results are far from the computational results because of our technical difficulties. Our time-resolved measurements of plasma density and optical emission intensity showed that a plasma dimmed due to the massive vapor at the first several milliseconds. This means that the plasma gives almost no

contribution to the droplets' evaporation because the transport time of vapor is much shorter than that of droplets. This result indicates that the control of initial vapor plays a role to realize this technique. In the poster, we will summarize these results shown above with recent progresses.

[i] [#_ednref] M. Coppins, Phys. Rev. Lett. **104**, 065003 (2010).

[ii] [#_ednref] Ward, US Patent No. 2005/0227018 A1.

PS-TuP28 Role of Heterogenous Surface Reactions on the Evolution of O and N Atoms in N₂/O₂ Flowing Afterglows. J. Pregent, L. Stafford, Université de Montréal, Canada

The number density of N and O atoms in the flowing afterglow of a reduced-pressure N₂/O₂ plasma sustained by propagating electromagnetic surface wave in the microwave regime was determined using a NO titration method. While the density of O atoms increased monotonously with increasing percentage of O₂ in the N₂/O₂ gas mixture, the N population first increased with trace amounts of O₂ and then decreased as the concentration of O₂ increased above ~0.1 %. Introduction of either Teflon, aluminum, stainless steel, or copper surfaces in the afterglow chamber influenced both N and O populations. The more prominent decrease was observed for Cu; a result consistent with the high heterogeneous recombination coefficient of O and N atoms on such surfaces. For all materials, the O-to-N number density ratio increased sharply with the addition of O₂, suggesting either a competition for surface recombination sites between N and O or the blocking of adsorption sites by physisorbed O₂. This latter mechanism affects more N than O recombination. A similar behavior was deduced from the analysis of the NO-B and N₂-B emission. Assuming that NO-B levels are populated by N+O+M->NO-B+M and N₂-B levels by N+N+M->N₂-B+M, where M is a third body, the NO-B/N₂-B emission intensity ratio becomes proportional to the O/N number density ratio. Over the range of experimental conditions investigated, we found that the ratio increased with the injection of O₂ in N₂. A less prominent increase was observed in presence of wood samples placed in the afterglow chamber, which indicates that O heterogeneous recombination reactions are more strongly affected by reactor walls or substrate properties.

PS-TuP29 Synthesis of Small Organic Molecules from a CO₂/CH₄ Mixture by Dielectric Barrier Discharge (DBD): Gas Composition and Power Effect. A. Ozkan, G. Arnoult, T. Bieber, P. De Keyser, F.A.B. Reniers, Université Libre de Bruxelles, Belgium

Carbon dioxide is usually considered as an end-product in chemistry because of its high stability. Due to the high quantities of CO₂ produced, its conversion received more and more attention in the recent years [1]. The transformation of CO₂, with CH₄ as second reactant and using atmospheric plasma technology shows that in good conditions, both gases can be converted into valuable products [2-3].

The conversion of CO₂/CH₄ mixtures was performed using a dielectric barrier discharge atmospheric plasma using Argon as the main plasmagen gas. Gas Chromatography was used to determine the composition of the gas after plasma treatment and this treatment was carried out in a new type of reactor developed in the laboratory. We demonstrated that the synthesis of syngas (CO and H₂) and small organic molecules such as C₂H₆, C₂H₄ is totally possible in this type of discharge [Fig. 1].

The study is focused on the effect of the plasma parameters on the CO₂ and CH₄ conversion rate. The parameters which are evaluated in this work are the ratio of CO₂/CH₄ flow rates and the power supplied.

We demonstrated that the CO₂/CH₄ ratio in the mixture has an important impact on the conversion rate. However, there is no real interaction between active species of these two gases since oxygenated organic compounds have only been detected at trace amounts. Nevertheless, the absence of oxygen after CO₂/CH₄ plasma is always obtained, suggesting that atomic oxygen is consumed in a plasma which contains only a few quantity of CH₄.

On the other hand, the effect of the power was clearly visible, showing a linear increase for both the CO₂/CH₄ conversion rates and the production of syngas according to the supplied power [Fig. 2]. This suggests that the number of electrons circulating between the electrodes has a huge impact on the conversion rate.

The detection of emitting species generated in the plasma (such as CO₂⁺ and CO₂ from the Fox, Duffendack and Barker's system, different peaks of H, OH, O...) was also carried out via optical emission spectroscopy (OES).

Finally, we observed a different effect of two plasmagen gases (Argon and Helium) on the conversion of CO₂ and CH₄. Indeed, the conversion of CH₄ is better when He is used as carrier gas compared to the use of Ar, whereas we observed an opposite effect for the conversion of CO₂.

[1] T. Sakakura, J.-C. Choi and H. Yasuda, *Chem. Rev.* **107** (2007) 2365–2387

[2] X. Tao, M. Bai, X. Li, H. Long, S. Shang, Y. Yin and Xiaoyan Dai, *Progress in Energy and Combustion Science* **37** (2011) 113-124

PS-TuP30 Mechanisms of Silicon Nitride (SiN) Etching by Hydrofluorocarbon (HFC) Plasmas, K. Miyake, T. Ito, M. Isobe, K. Karahashi, Osaka University, Japan, M. Fukasawa, K. Nagahata, T. Tatsumi, Sony Corporation, Japan, S. Hamaguchi, Osaka University, Japan

Selective etching of silicon nitride (SiN) over silicon dioxide (SiO₂) and/or silicon (Si) is widely used in the microelectronics industry. For example, the formation of dual stress liners or etching of dual/triple hard masks (DHM/THM) for dual-damascene structures requires highly selective SiN etching technology. It has been known that the use of plasmas based on hydrofluorocarbon (HFC) gases such as CHF₃ and CH₂F₂ or hydrogen (H) /fluorocarbon (FC) gases can result in higher etching rates of SiN. The goal of this study is to clarify the etching mechanism of SiN and SiO₂ by HFC/FC plasmas. For this purpose we have performed molecular dynamics (MD) simulations of SiN and SiO₂ etching by HFC/FC ions with improved interatomic potential functions. In the new interatomic potential functions, electronegativity of fluorine (F) bonded with carbon (C) is taken into account. It has been found that, in MD simulation, electronegativity of F strongly affects the etch rates of SiN by FC ions. Since F is highly electronegative and tends to attract electrons more strongly than C does, the CC bond of C-C-F or C=C-F is weaker than that of C-C-H or C=C-H and the C-F bond of C-C-F or C=C-F is stronger than that of CF₄. The bond energy of Si-F is comparable with that of the C-F bond. Therefore, when C and F atoms are provided from the incident beam to a SiN surface, the formation of C-F and Si-F bonds takes place simultaneously and the balance between the C-F and Si-F formation rates determines the total sputtering yield. Since SiF_x is a volatile species whereas CF_x radicals can form a polymer, if more SiF_x bonds are formed, etching proceeds more rapidly and, if more CF_x radicals are formed, polymer deposition takes place. In this study, we evaluate the sputtering yields of SiN by HFC/FC ions and examine the surface atomic compositions and desorbed products. The results are also compared with data obtained from ion beam experiments. Sputtering yields obtained from MD simulations with the new interatomic potential functions with more accurate electronegative effects of F are found to be in good agreement with those obtained from ion beam experiments.

PS-TuP31 Novel TSV Etching Technologies using Spatial and Temporal Control Plasma, Y. Morikawa, T. Murayama, T. Sakuishi, K. Suu, NMEMS and ULVAC, Inc., Japan

The advantage of high pressure ICP process is lower self bias voltage than capacitive coupled plasma (CCP) to reduction of notching profile for via last process. And, the very high frequency CCP can cause a plasma uniformity issue due to the standing-wave effect. The plasma characteristics of inductive coupled plasma (ICP) source above 10Pa process operated with dual rf antenna coils with magnetic neutral loop discharge (NLD) plasma were investigated for thru silicon via (TSV) etching. Improved plasma characteristics such as higher plasma density and very uniform and high aspect ratio anisotropy TSV etching process were realized in 300mm wafer. Which plasma source is kind of planar type ICP or NLD. 13.56MHz or 2MHz of rf for dual antenna coils and low frequency rf bias can operate independently. Mechanism of Si etching is mainly fluorine radical reaction. High density plasma is need to get high etch rate. On the other hand, management of radical diffusion from around rf antenna is important for very uniform process of high aspect ratio TSV as well. Center gas injection on the rf window is induced instead of side gas injection to avoid of the rf electric field effect. Therefore, when Si was etched using dual rf antenna coil with SF₆ / O₂ / SiF₄ or SiCl₄ mixture gases injection from center of rf window and outer side, the high etch rate and selectivity of Si over the photo-resist and very uniform process were observed. And, the combination of "spatial and temporal control of rf input power on dual rf antenna", and "gases inject apportionment each nozzles (center/outer)" can be controllable in large diameter substrate etching process. This method named "STEVIA" (Spatial and Temporal Control Plasma for Thru Silicon Via Etching).

PS-TuP32 State-Space Mapping of Plasma Tools via Coarse Mesh Tool Simulation, D. Monahan, Quantemol Ltd., UK, J.C. Tennyson, University College London, UK

The physical processes underlying low-pressure, low-temperature industrial plasma tools encompasses substantial ranges of space and time. This presents significant difficult for simulation. Large processing tools produce atomic scale features with process times often exceeding 1000's of seconds while applied frequencies are typically in the MHz or even GHz range. Tractable simulations, therefore, must typically make a number of range limiting assumptions. An extreme simplification which is often employed when probing large parameters spaces is the "global-model" approximation, where volume-averaged chemistry balance equations are solved over the parameter space of interest. Though this may be regarded as an extreme approximation in view of the complexity of typical processing tool

geometries, careful consideration of the transport and loss effects often yields surprising agreement with experiment. However, these models do have limited potential and cannot hope to account for spatially dependent phenomena, which may play a significant role in many industrial processes. Spatially resolved simulations, on the tool level, are much less commonly employed for state-space exploration and are often restricted to limited regions of parameter space, or significantly simplified chemistries. However, as the prevalence of multi-core desktop computers continues to grow rapidly, it is not unusual for an engineer to have easy access to a great many processing cores. Utilizing relatively coarse mesh tool simulations, in conjunction with such computer resources now makes spatially resolved state-space mapping tool simulations feasible for short term investigations. In this poster we will present the results of such a study with an SF₆etching chemistry done using the new Quantemol - Virtual Tool (Q-VT) plasma simulation package.

PS-TuP33 Development of ICP Etching Processes for Gallium Nitride HEMT, S. Uehara, T. Nishimiya, Y. Kusuda, M. Hiramoto, S. Motoyama, O. Tsuji, SAMCO Inc., Japan

Next-generation power devices fabricated from wide band-gap semiconductors, such as Gallium Nitride (GaN) or Silicon Carbide (SiC), have the advantages of less energy consumption and smaller device size compared to Silicon power devices, and therefore, are expected to see application in hybrid and electric vehicles, trains, wind or solar power generators, and smart grid technology. This paper reports our recent development of manufacturing processes for a GaN High-Electron-Mobility Transistor (HEMT).

A planar structure GaN-HEMT enables high-speed switching with a two-dimensional electron gas (2DEG), but it is a normally-on device while a normally-off device is preferable. To realize normally-off planar GaN-HEMTs, precise thickness control of the AlGaN layer at the gate is necessary. However, reproducible, stable thickness control of the AlGaN layer in the etching process is challenging when manufacturing normally-off GaN HEMTs. For this reason, we developed a slow etching process with low damage and high reproducibility, combined with in-situ thickness measurement using an optical interferometric film thickness monitor.

Manufacturing GaN-HEMTs with a triple channel (Camel) structure requires an etching process with high GaN to AlGaN selectivity on the p-GaN substrate. We developed an etching process with GaN to AlGaN selectivity of 60:1. We also applied interferometric film thickness monitoring for high etch process reproducibility. Another challenge in the Camel GaN-HEMT structure is to prevent current collapse, which prevents drain current, caused by electron traps on the interface of the AlGaN and insulation layers. We managed this issue by lowering the interface states of the AlGaN.

PS-TuP34 Study of the Substrate Heating in a Magnetron Sputtering Process, J.S. Restrepo, University of Mexico, S. Muhl, J. Cruz, Universidad Nacional Autonoma de Mexico

The substrate heating by the plasma on the magnetron sputtering process is a knowledge process that has not been extended studied such as involve complex effects like ion, excited and neutral surface bombardment and radiation heat from the plasma. To this end we have constructed a multi-point thermocouple to study the spatial variation of the substrate heating under a range of experimental conditions, plasma power and Ar gas pressure during DC magnetron sputtering of titanium. Additionally, we have studied the effect of allowing the thermocouples to be floating, connected to ground and reactive environment. The results showed that the substrate temperature can reach temperature more than 200°C with a plasma power of 200W and that the highest temperature is localized in front at the race track due to a higher degree of particle bombardment.

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